



DEPARTMENT OF ADMINISTRATION

Enterprise Policy

OMB-REAPPROPRIATIONS-2019

OFFICE OF MANAGEMENT AND BUDGET

Reappropriation of State Funds Policy

Date of Last Revision 07/26/2019

Thomas Mullaney

(401) 222-6300

thomas.mullaney@budget.ri.gov

1. Purpose

- a. Chapter 35-3-15 of the Rhode Island General Laws (RIGL) authorizes the Governor to reappropriate unexpended general revenue appropriations from one fiscal year to the next. "All unexpended or unencumbered balances of general revenue appropriations, whether regular or special appropriations, at the end of any fiscal year, shall revert to the surplus account in the general fund, and may be re-appropriated by the governor to the ensuing fiscal year and made immediately available for the same purposes as the former appropriations; provided, that the disposition of unexpended or unencumbered appropriations for the general assembly and legislative agencies shall be determined by the joint committee on legislative affairs, and written notification given thereof to the state controller within twenty (20) days after the end of the fiscal year; and furthermore that the disposition of unexpended or unencumbered appropriations for the judiciary, shall be determined by the state court administrator, and written notification given thereof to the state controller within twenty (20) days after the end of the fiscal year."

2. Applicability

- a. This Policy applies to all state agencies, except for the General Assembly and the Judiciary.

3. Definitions

- a. **"Reappropriation"** means authorization to expend appropriated funds in the budget year that were unspent from the prior fiscal year.

4. Procedures for Compliance

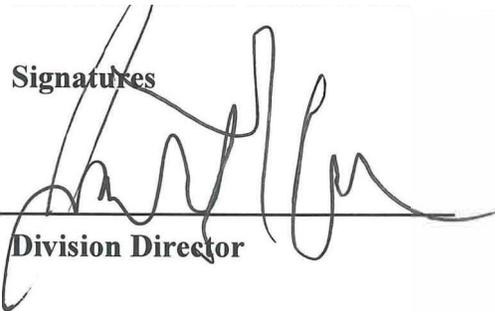
- a. To be considered for reappropriation, the following four conditions must be met:
 - (i.) The funding requested for reappropriation must be used for the same purpose for which the funds were originally appropriated in the prior fiscal year.
 - (ii.) The statewide general revenue appropriations must show a bottom-line surplus at least equal to the amount of all requested reappropriations, including those of the General Assembly and Judiciary.
 - (iii.) The agency where the respective budget resides must show a surplus at least equal to the amount of the requested reappropriation.
 - (iv.) The line item where the respective budget resides must show a surplus at least equal to the amount of the requested reappropriation.

- b. Agencies shall submit requests for reappropriations to the State Budget Office no later than August 1st, with an explanation of why the funding was not expended in the prior fiscal year and the intended use of the funds in the new fiscal year. The Budget Office will review all reappropriation requests based first on the conditions listed above and then on the appropriateness of the request. Reappropriation requests must be using the requested funding for the same purpose as it was originally appropriated.
- c. Upon final determination of reappropriations, the State Budget Officer will prepare a letter to the Governor detailing those reappropriations being recommended. Statute requires approval of this letter by the Governor no later than August 20.
- d. Upon approval of the reappropriation letter, a copy will be sent to the State Controller, the Chairpersons of the House and Senate Finance Committees and their respective Fiscal Advisors. Notification will also be provided to those agencies for which a reappropriation was approved or denied.

5. Repercussions for Noncompliance

- a. Agencies that do not submit the required information requesting a reappropriation in the format and timeframe required will not have funds reappropriated from one fiscal year to the next and will lose access to those funds.

6. Signatures



 Division Director

8/1/2018

 Date



 Director of Administration

8/7/18

 Date